



12/20/01

December 20, 2001

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FILE NO: 52493.000230

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: 52493.000230

First Named Inventor: Allison STOLTZ

Title: SYSTEM AND METHOD FOR RISK ASSESSMENT

JC760 U.S. PRO  
 10/022438  
 12/20/01

TO: **BOX PATENT APPLICATION**  
 Commissioner for Patents  
 Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1.  Fee Transmittal Form (original and duplicate)
2.  Small Entity Status Claimed:  Independent Inventor  
 Small Business Concern  
 Non-Profit Organization
- Statement Enclosed
- Statement Filed in Prior Application; Status Still Proper and Desired
3.  Specification - Total Pages: 26 (Including Abstract)

## CLAIMS AS FILED

	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	20	20	0	\$ 18.00	\$ 0.00	\$ 0.00
Independent Claims	2	3	0	\$ 84.00	\$ 0.00	\$ 0.00
First Presentation of Multiple Dependent Claims				\$ 280.00	\$ 0.00	\$ 0.00
<b>BASIC FEE</b>				\$ 740.00	\$ 0.00	\$ 740.00
<b>TOTAL FILING FEE</b>						\$ 740.00
Assignment Recordation Fee						\$ 0.00
<b>TOTAL AMOUNT ENCLOSED</b>						\$ 740.00

4.  Drawings - Total Sheets: 4 (Fig(s). 1 - 4)
5. Oath or Declaration - Total Pages: 4



21967

PATENT TRADEMARK OFFICE

- a.  Newly executed (original or copy)  
 New (unexecuted)

b.  Copy from a prior application  
(for continuation/divisional with Box 17 completed)

i.  DELETION OF INVENTOR(s):  
Signed statement attached deleting inventor(s) named in prior application.

6.  Application Data Sheet

7.  CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)

8.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a.  Computer Readable Form (CRF)

b.  Specification Sequence Listing on:

i.  CD-ROM or CD-R (two copies); or

ii.  Paper Copy (identical to computer copy)

c.  Statements verifying identity of above copies

9.  Assignment (unexecuted)

10.  37 C.F.R. 3.73(b) Statement       Power of Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement with PTO-1449 and References  
 Copies of Information Disclosure Statement Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard

15.  Foreign Priority is Claimed as Follows:

If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith

16.  Nonpublication Request under 35 U.S.C. § 1222(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.

17.  Other: \_\_\_\_\_

18.  Continuation       Divisional       Continuation-in-Part of \_\_\_\_\_

Prior Application No.: \_\_\_\_\_ filed \_\_\_\_\_

- Incorporation By Reference (useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.
- Complete Application Based on Provisional Application No.: \_\_\_\_\_  
filed \_\_\_\_\_

19. Please address all correspondence to:

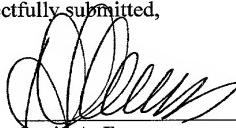
CUSTOMER NUMBER 21967

Intellectual Property Department  
 Hunton & Williams  
 1900 K Street, N.W.  
 Suite 1200  
 Washington, DC 20006-1109

20.  A new power of attorney or authorization of agent (PTO/SB/81) is as follows:  
 The power of attorney is to:  
 Please remove as power of attorney:  
 Please add as power of attorney:
21.  A check in the amount of \$ 740.00 is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 50-0206**.

Respectfully submitted,

By:



Orlie A. Farres  
Registration No. 43,606

OAF/tlc  
Enclosures

<b>FEE TRANSMITTAL</b>  <b>BOX PATENT APPLICATION</b>		<i>Complete If Known</i>																
		Application No.	To Be Assigned															
		Filing Date	December 20, 2001															
		First Named Inventor	Allison STOLTZ															
		Examiner Name	To Be Assigned															
		Group Art Unit	To Be Assigned															
Total Amount Of Payment	(\$)		740.00.															
<b>METHOD OF PAYMENT (check one)</b>																		
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to <b>Deposit Account No. 50-0206</b> in the name of Hunton &amp; Williams.</p> <p>2. <input checked="" type="checkbox"/> Check Enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to <b>Deposit Account No. 50-0206</b> in the name of Hunton &amp; Williams, 1900 K Street, N.W., Suite 1200, Washington, D.C. 20006-1109.</p>																		
<b>FEES CALCULATION</b>																		
<p>1. <b>BASIC FILING</b>    <input checked="" type="checkbox"/> Large Entity    <input type="checkbox"/> Small Entity</p> <p><b>Fee</b></p>		<p><b>Fee Paid</b></p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Utility Filing Fee</td> <td style="width: 10%; text-align: right;">\$</td> <td style="width: 50%; text-align: right;">740.00</td> </tr> <tr> <td>Design Filing Fee</td> <td style="text-align: right;">\$</td> <td></td> </tr> <tr> <td>Plant Filing Fee</td> <td style="text-align: right;">\$</td> <td></td> </tr> <tr> <td>Reissue Filing Fee</td> <td style="text-align: right;">\$</td> <td></td> </tr> <tr> <td>Provisional Filing Fee</td> <td style="text-align: right;">\$</td> <td></td> </tr> </table>		Utility Filing Fee	\$	740.00	Design Filing Fee	\$		Plant Filing Fee	\$		Reissue Filing Fee	\$		Provisional Filing Fee	\$	
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<b>TOTAL EXTRA CLAIMS FEES</b>						\$ 0.00												
SUBMITTED BY						<i>Complete (if applicable)</i>												
Typed or Printed Name		Ozzie A. Farles			Registration No. 43,606													
Signature					Date	December 20, 2001												

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